Group Life Claim Forms
for Employee or Dependent

THE HARTFORD

To the Employer

The loss of a valued employee, or their loved one, can be difficult and we want to assist you in filing the claim as quickly as possible. Please read all instructions below regarding completion of these forms.

- All claims must be submitted, along with the beneficiary designation form(s) on file with the Employer/Plan, if any. If none on file, the Policyholder/Employer shall certify to that fact on the claim form.

Submit claim by mail to: **The Hartford**
Group Life Claims
P.O. Box 14299
Lexington, KY 40512-4299

By Fax to: 1-866-954-2621
By E-Mail to: gbclaimcslife@thehartford.com

PART I - EMPLOYER'S STATEMENT - TO BE COMPLETED IN FULL FOR ALL CLAIMS (1 of 2)
(Please verify if the employee qualifies for any other group benefits through The Hartford and submit the claim accordingly)

Policy Number(s): Life: 805482 Business Travel Accident: _____

Group Policyholder/Employer Name: Iredell-Statesville Schools

Name of Insured/Employee:	Social Security Number:	
Employee's Full Address:	Date of Birth:	Date of Death:

If you already have a copy of the death certificate, please submit it with the claim application.

Insured/Employee's Marital Status (if known): ☐ Married ☐ Divorced ☐ Single ☐ Widowed ☐ Partnered ☐ Unknown

Date of Hire:	Effective date of employee's Insurance:	☐ Salaried ☐ Hourly	Branch/Location:	Occupation:

Classification: Class (if known): _____ ☐ Full-time ☐ Part-time ☐ Union

Employee's actual date last physically at work: _____

Provide reason employee did not return to work on their next scheduled workday: _____

☐ Illness ☐ FMLA (provide approval form) ☐ Retirement - Date: _____ ☐ Other (please explain): _____

Premiums paid to date for Insured/Employee?: ☐ Yes ☐ If No, date insurance was discontinued or not in force: _____

Indicate if any of the following apply to this Employee:

☐ Has been approved for LBO/Accelerated Death Benefits ☐ Has been Approved for Waiver of Premium

☐ Has been Approved for Long Term Disability ☐ Applied for Conversion ☐ Applied for Portability

AMOUNT OF INSURANCE BEING CLAIMED FOR EMPLOYEE OR AMOUNT IN FORCE FOR EMPLOYEE IF DEPENDENT CLAIM

- **Form is to be completed in its entirety and signed by the Official Representative of the Policyholder/Employer on page 2.**
- **Submission of claims on any voluntary or contributory Life plans, including Dependent coverage, must include copies of paper enrollment forms and/or online enrollment screen prints of current and two prior plan years for history of benefit elections and timely enrollment.**

Basic Life: $ _____ Supplemental Life: $ _____

Earnings, if used to calculate Benefit Amount (reported earnings should be as defined in your policy. Attach W-2 if applicable)

Employee's Rate of Earnings used to calculate benefit Amount: $_____ ☐ Hourly ☐ Weekly ☐ Monthly ☐ Annually ☐ W-2

Regular number of hours scheduled to work (if applicable): _____	Effective date of above reported earnings: _____	Do earnings include commissions or bonuses?: ☐ Yes ☐ No

If Supplemental Life coverage is in force, was this elected during Annual Enrollment?: ☐ Yes ☐ No
Date elected: _____

Did employee complete Evidence of Insurability (EOI)? ☐ Yes ☐ No
Date EOI approved: _____

Does the coverage claimed above reflect age reductions?: ☐ Yes ☐ No

Please continue on next page

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PART I - EMPLOYER'S STATEMENT (2 OF 2)
BENEFICIARY / CONTACT INFORMATION - TO BE COMPLETED BY EMPLOYER/TPA FOR ALL CLAIMS

Do you have beneficiary designations on file? ☐ Yes ☐ No If Yes, please include all designations with your claim submission

- **Please provide beneficiary contact information below, if available. Otherwise, provide known contact information for next of kin or insured's emergency contact**

Has the beneficiary completed a Funeral Home Assignment, and **provided it** to you? ☐ Yes ☐

- **If Yes, please include the Funeral Assignment with your claimsubmission**
- **If No, please provide any Funeral Home information available to you:** _____

Name of Insured/Employee:		Social Security No.:
Beneficiary Name:	Date of Birth:	Relationship:
Full Mailing address:		
Telephone Number: ( )	Cell Number: ( )	E-mail Address:
Beneficiary Name:	Date of Birth:	Relationship:
Full Mailing address:		
Telephone Number: ( )	Cell Number: ( )	E-mail Address:
Beneficiary Name:	Date of Birth:	Relationship:
Full Mailing address:		
Telephone Number: ( )	Cell Number: ( )	E-mail Address:

DEPENDENT INFORMATION - ONLY COMPLETE FOR DEPENDENT CLAIM

- If dependent claim is for a child, provide necessary paperwork to support the dependent was a full-time student OR support the dependent child was incapacitated, as applicable. Our claim team can help you if you're unsure what paperwork is necessary.

Full name of Deceased Dependent	Deceased Social Security Number	Date of Birth	Date of Death	Relationship to Employee
Last Residence (number, street, City, State, Zip Code)	Is Employee Actively at Work? ☐ Yes ☐ No If No, complete date last worked and reason on page 1		Have premiums been paid to date for this dependent? ☐ Yes ☐ No	
Was the dependent child over the Policy's limiting age? ☐ Yes ☐ No	Was the dependent child a full-time student? ☐ Yes ☐ No If Yes, and required by the Policy, include school enrollment verification		Was dependent child incapacitated? ☐ ☐ No	

AMOUNT OF INSURANCE BEING CLAIMED FOR DEPENDENT

- **Submission of claims on any voluntary or contributory Life plans, including Dependent coverage, must include copies of paper enrollment forms and/or online enrollment screen prints of current and two prior plan years for history of benefit elections and timely enrollment**

Basic Dependent Life: **$**	Supplemental Dependent Life: $
If Supplemental Dependent Life coverage is in force, was this elected during Annual Enrollment?: ☐ Yes ☐ No Date elected:	Did employee complete Evidence of Insurability (EOI) for Dependent?: ☐ Yes ☐ No Date EOI Completed:
Dependent benefit is a: ☐ Flat Amount ☐ Percentage of Employee's amount If a percentage, please complete amount of employee insurance above	Does Coverage claimed reflect age reduction(s)? ☐ Yes ☐ No

Indicate if any of the following apply to this Employee:

☐ Has been approved for LBO/Accelerated Death Benefits ☐ Has been Approved for Waiver of Premium
☐ Has been Approved for Long Term Disability ☐ Applied for Conversion ☐ Applied for Portability

TRAVEL INFORMATION – ONLY COMPLETE FOR BUSINESS TRAVEL ACCIDENT CLAIMS

- **If available, please include any travel itineraries, incident reports or police reports**

Trip Begin Date: _____ Scheduled Trip End Date: _____ Injury sustained during: ☐ Work Activity ☐ Pleasure Activity
Amount of BTA Insurance claimed: $ _____ Date of Accident: _____ Time of Accident (hr, min) : ☐ AM ☐ PM
Place of Accident: _____ Fully describe the circumstances of the Accident and nature of Injuries, if known: (Include incident/police reports as available; attach separate sheet, if necessary) _____

EMPLOYER CERTIFICATION

I hereby certify that the information provided on the Employer Statement is true and complete, according to the records of the Employer.
I agree that this information is subject to audit by The Hartford and/or its representative.

Iredell-Statesville Schools
Employer

Address

Signature

Date

Their Authorized Representative (Please print)

( )
Telephone Number

E-mail Address

( )
Facsimile Number

Group Life Claim Forms
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PART II - BENEFICIARY'S STATEMENT (1 of 2)

Release of claim forms is not an admission of coverage under a policy for an employer, group or organization

We know this is a difficult time, and we want to assist you in filing your claim as quickly as possible. Please read all instructions below regarding completion of these forms. Also, please read the "Important Notice" on page 5.

- This form is to be completed in its entirety indicating your current address, date of birth and Social Security Number.
- **If the claim proceeds are payable to an Estate**, the beneficiary section below must be completed by the Executor or Administrator of the Estate. An official certificate of such person's legal appointment and qualification must be attached to this form. Please include the Estate Tax Identification Number. If none available, please explain.
- **If the claim proceeds are payable to a Trust**, the beneficiary section below must be completed by the Trustee and/or Successor Trustee(s) of the Trust. Applicable Trust pages indicating the name and date of the Trust; name of Trustee and Successor Trustee; and signature pages, must be attached to this form. Please include the Trust Tax Identification Number. If none available, please explain.
- **If any designated beneficiary is a minor**, the beneficiary section below must be completed by a custodian or guardian. Include the minor's Social Security Number and copy of the minor's birth certificate. Letters of Guardianship/Conservatorship and the supporting Court Order appointing the guardian/conservator for the minor's **estate or property** must also be included, if applicable.
- **If the claim is for a dependent child enrolled in an accredited school of higher learning**, submitted documents should include a student enrollment form executed by the school, applicable if required under the Policy.
- **If the claim submitted is for a Foreign Death**, Include both the Official Death Certificate and the Death of American Citizen abroad form. Please note that additional documents may be required upon claim review.

The Company reserves the right to require or to obtain further proof of information should it be deemed necessary.

Name of Deceased:	Date of Death:	Claim Number (if known):
Deceased's Permanent Address:		
Deceased's Marital Status: ☐ Married ☐ Divorced ☐ Single ☐ Widowed ☐ Partnered		
If the death certificate has been made available to you, please mark the manner of death: ☐ Natural ☐ Accident ☐ Homicide ☐ Suicide ☐ Pending/Undetermined/Unknown Cause (if known): _____ **Please provide a copy of the death certificate with your submission. If not available, please submit as soon as possible.**		
Please provide the Funeral Home information: Name: _____	Contact Person: _____	Telephone Number: ( ) _____

Please continue on next page to elect a payment option

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PART II - BENEFICIARY'S STATEMENT (2 of 2)

GROUP POLICYHOLDER/EMPLOYER NAME: Iredell-Statesville Schools		
Name of Insured/Employee:	Date of Birth:	Social Security Number:

Substitute W-9 Statement

Under penalties of perjury, I certify that:

(1) the number shown on this form is my correct taxpayer identification; and

(2) I am not subject to a back-up withholding, because, (a) I am exempt from back-up withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest and dividends; or (c) the IRS has notified me that I am no longer subject to back-up withholding; and

(3) I am a U.S. person (including a U.S. resident alien).

Certification Instructions: You must cross out item (2) above, if you have been notified by the IRS that you are currently subject to back-up withholding, because, you have failed to report all interest and dividends on your tax return.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature:	***Date:***

DEATH BENEFIT PAYMENT

We will issue the full amount of the insurance proceeds payable to you by check, provided we have received all necessary documentation.

Beneficiary Name: (print)	Date of Birth:	Relationship:
Citizenship: ☐ U.S. citizen ☐ U.S. resident ☐ Non-resident alien (Request a W-8BEN)		
Complete Mailing Address: (Number & Street)	Beneficiary's Social Security Number or Estate /Trust Tax ID:	
(City, State & Zip Code)	Telephone Number: Day: ( ) Evening: ( )	

Personal Cell Telephone Number: ( ) _____ May we have your authorization to leave confidential medical and benefit information on your personal cell phone? ☐ Yes ☐ No and/or request this by e-mail? ☐ Yes ☐ No Please initial here: _____ to confirm your election

By signing below:

(1) **I Hereby Certify and Agree** that I have read and understand the IMPORTANT NOTICE on page 5 of this claim form package.

(2) **I Understand and Agree** that payment of the claim proceeds according to any alternate mode of settlement specified in the policy will only be made if the Company receives a written request for such alternate method of payment from me prior to the payment of the claim proceeds.

(3) **I Hereby Certify** that the information provided on this Beneficiary Statement is true and complete, to the best of my knowledge.

(4) **I Understand and Agree that if I receive claim proceeds which are not due to me, I will reimburse The Hartford.**

Signature: **X**	Date:	E-mail address:

Important Notice - Please read the statement that applies to your state of residence and sign the bottom of the page.

For residents of all states EXCEPT Arizona, Alabama, California, Colorado, Florida, Kentucky, Maine, Maryland, New Jersey, New York, Ohio, Oklahoma, Oregon, Pennsylvania, Puerto Rico, Tennessee, Virginia and Washington: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

For Residents of Arizona: For your protection Arizona law requires the following statement to appear on this form. Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties.

For Residents of Alabama: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

For Residents of California: For your protection, California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

For residents of Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

For residents of Florida: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

For residents of Kentucky: Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim or an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

For residents of Maine, Tennessee, and Washington: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines and denial of insurance benefits.

For Residents of Maryland: Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit and who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

For residents of New Jersey: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties. Any person who includes any false or misleading information on an application for insurance policy is subject to criminal and civil penalties.

For residents of Ohio: Any person who, with intent to defraud or knowing he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

For residents of Oklahoma: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

For residents of Oregon: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto that the insurer relied upon is subject to a denial and/or reduction in insurance benefits and may be subject to any civil penalties available.

For residents of Pennsylvania: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material hereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

For residents of Puerto Rico: Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars ($5,000) and not more than ten thousand dollars ($10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

For residents of Virginia: Any person who, with the intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may have violated the state law.

For residents of New York: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

The statements contained in this form are true and complete to the best of my knowledge and belief.

Signature _____ Date _____